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JAN 07 Rec'd PCT/PTO 02 JAN 2002

FORM PTO-1390 (REV 10-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 8191-PA01	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/856,655	
INTERNATIONAL APPLICATION NO. PCT/AU99/01052		INTERNATIONAL FILING DATE 25 November 1999		PRIORITY DATE CLAIMED 25 November 1998	
TITLE OF INVENTION IMMUNOSTIMULATORY DNA					
APPLICANT(S) FOR DO/EO/US Katryn STACEY					

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

 Copy of the Notification of Missing Requirements; Statement Under 37 CFR 1.821(f) and (g); Paper Copy of the Sequence Listing; Computer Readable Form [CRF] of the Sequence Listing (3.5 inch diskette); Check in the amount of \$105.00 (\$65.00- fee for late filing of declaration, \$40.00- fee for recordation of Assignment); Return Postcard.

IN THE UNITED STATES ELECTED OFFICE (EO/US)

International Application No.:

PCT/AU99/01052

International Filing Date:

25 November 1999

Title:

IMMUNOSTIMULATORY DNA

Applicant:

Katryn J. STACEY

U.S. Serial No.:

09/856,655

STATEMENT UNDER 37 C.F.R. § 1.821(f) and (g)

A computer readable sequence listing, as required under C.F.R. § 1.821(e), and a paper copy of the sequence listing, as required under 37 C.F.R. § 1.821(c), are submitted herewith. The undersigned attorney states that, as required under 37 C.F.R. § 1.821(f), the information recorded in computer readable form is identical to the written sequence listing. Additionally, the undersigned agent states that this submission contains no new matter under 37 C.F.R. § 1.821(g).

Dated: 24 October 2001

By:



Colleen J. McKiernan

Agent for Applicant

Reg. No. 48,570



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PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
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on Oct. 24, 2001
Date

Melissa J. Leffler
Signature

Melissa J. Leffler
Typed or printed name of person of signing Certificate

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